UNIFIED COURT SYSTEM USER GUIDE FOR EDDS



Electronic Document Delivery System

In response to the COVID-19 public health emergency and the expansion of "virtual" court operations, the Unified Court System has initiated a new program to transmit digitized documents (in pdf format) to UCS courts, County Clerks, and other court-related offices around the State. The Electronic Document Delivery System ("EDDS") allows users, in a single transaction, to (1) enter basic information about a matter on a UCS webpage portal page; (2) upload one or more pdf documents; and (3) send those documents electronically to a court or clerk selected by the user. Upon receipt of the document(s) by the court, the sender will receive an email notification, together with a unique code that identifies the delivery. More detailed instructions for sending or filing documents through EDDS may be found on the EDDS FAQ page.

Users/Senders should keep several important points in mind when using this system:

1. <u>EDDS May be Used to File Papers with Certain Courts</u>: At the direction of the Chief Administrative Judge, during the COVID-19 public health crisis EDDS can be used to deliver documents for filing with certain courts— including some Family Courts, Criminal Courts, Supreme Court, the Court of Claims, Surrogate's Courts, and District Courts, and City Courts. (EDDS is not available in the New York City Criminal Court.)

To use the system for filing, the sender must simply check a box on the sender information screen, complete the sending of the document(s) to the appropriate court through the EDDS system, and pay any required filing fee by credit card. The clerk's office will review the document(s) for sufficiency and, if the clerk determines that filing prerequisites have been met, accept them for filing purposes. In the event that a clerk's office has accepted and filed a document received through EDDS, the sender will be notified of that fact by email or publication on a public database. If no email or published notification is issued indicating that the document has been accepted for filing, the sender should not assume that the filing has occurred. The sender may contact the clerk's office to inquire about the status of a proposed filing.

- 2. <u>EDDS is Not a Substitute for E-filing or NYSCEF</u>: Please note that, although EDDS may be used for filing in various courts, it does not replace and may not substitute for filing under the New York State Courts Electronic Filing System (NYSCEF). Therefore, it should not be used in matters where NYSCEF is available on either a mandatory or consensual basis. (Counties and case types where NYSCEF is available are listed on NYSCEF's <u>Authorized for E-Filing</u> page.)
- 3. <u>EDDS Delivery is not "Service" on Other Parties</u>: Finally, unlike NYSCEF, delivery of a document through EDDS does not constitute service of the document on any other party. If service is required, the sender must serve by some other means.

In sum, EDDS is a document delivery portal that complements the UCS electronic filing system and which, upon completion and together with NYSCEF, will allow remote and immediate delivery of digitized documents throughout the Unified Court System.

Part 1: Instructions for Users Submitting Documents to the Court

PREPARING YOUR DOCUMENTS TO SEND TO THE COURT

When uploading a document into EDDS, your document must comply with the following:

- PDF/A
- Text Searchable
- 1-inch margins
- Resolution of 200 dots per inch

- Flattened (if multi-layered)
- NOT password-protected or encrypted
- NOT contain any objects
- NOT have long file name

EDDS requires that all submitted documents conform to PDF/A specifications. EDDS will not accept documents that contain open action tags or JavaScript. If these specifications are not met, those documents may not be accepted.

NOTE ABOUT CIVIL SUPREME COURTS

Some Civil Supreme courts have an available e-filing program. In those courts, ONLY a "Stipulation and Consent to E-Filing" is available to file in EDDS. In this case, the case type of these courts will only have one case type, which is "Stipulation and Consent to E-Filing". This is also the name of the document type you will file.

ACCESSING THE EDDS WEB PORTAL

EDDS is accessible through a link displayed on the court's public web site at www.nycourts.gov.

NEW: Electronic Document Delivery System (EDDS)

Commencing May 4, 2020, the UCS will make available a system of secure document transmission by court users to judges, clerks of court, and other UCS offices around the State. This system, known as the Electronic Document Delivery System (EDDS), may also be used for filing of documents in any courts. EDDS may be used by attorneys, unrepresented persons, and other court users. For more information on this system, view the <u>EDDS Notice</u> and the <u>EDDS FAQs</u>.

(If you do not have a lawyer, you may visit the <u>CourtHelp</u> website or the <u>DIY (Do-It-Yourself) Forms</u> page for helpful information about the legal process and legal documents.)

Send a Document with EDDS

By clicking the link to <u>"Send a Document with EDDS"</u>, the user will be routed to a Welcome screen where they will be asked to choose the court they want to send their documents to.



New York State Unified Court System

Electronic Document Delivery System: Welcome

This site lets you electronically deliver documents to the courts and — during the COVID—19 public health emergency — file documents electronically in many courts that do not usually permit electronic filing. For more detailed information, view the **EDDS Notice** or the **EDDS FAQ** page.

To help you with the delivery of your documents, please choose the location where you would like to send your documents, then follow the screens for additional directions. For information about which legal matters are handled by the various courts of New York State, visit Which court should I go to?

Select the court you want to deliver your documents to:

City Court - Civil (outside NYC)

<u>City Court - Criminal</u> (outside NYC)

<u>Civil Court - New York City</u>

County Court - Criminal Term (outside NYC)

Court of Claims

<u>District Court - Civil Term</u> (Nassau/Suffolk)

District Court - Criminal Term (Nassau/Suffolk)

Family Court

Supreme Court - Criminal Term (Inside NYC)

Supreme Court - Civil Term (limited availability for certain courts and case types. Read more ...)

Surrogate's Court

Once the court is selected, the user is brought to a Getting Started page with additional information about how the process works. The user will click Next to continue.



New York State Unified Court System

Electronic Document Delivery System: Getting Started

This site lets you electronically deliver documents to the courts and — during the COVID—19 public health emergency — to file documents electronically in many courts that do not usually permit electronic filing. For more detailed information, view the <u>EDDS Notice</u> or the <u>EDDS FAQ</u> page. Otherwise, follow the instructions below and click Next to continue.

Step 1: Getting the Documents Ready

EDDS does not create or fill out court papers, like a Petition or Motion. If you have not made your court papers and need information or forms:

- · Visit the CourtHelp website to see if there is a DIY (Do-It-Yourself) Form available for your case
- . Find a court form from the Forms website
- Find a lawyer for help

IMPORTANT: The documents must be saved as a PDF file to use this system.

Step 2: Delivering the Document

In the screens that follow, you will be asked to:

- 1. Enter your contact information. The court will use this to contact you and is not shared with anyone else.
- 2. Check the box if you are requesting that the documents be filed by the court clerk.
- 3. Select the court you want to deliver your documents to.
- 4. Pick the kind of case your documents are for.
- 5. Upload the document you are sending. You can upload more than one PDF document.
- 6. Electronically deliver the documents to court.

Next

Once the user views the steps for the process, the user is brought to a data collection page prompting them to enter some basic information about themselves and the matter about which they are submitting documents

New York State Unified Court System
Electronic Document Delivery System: Enter Your Information
Court Type: Supreme Court Civil Term
Please enter your contact information below. This information will not be shared with the general public. A confirmation email will be sent to the email address you enter. * Required fields
First Name * Middle Name Last Name *
Organization/Agency/Firm Name * - OR - I do not have a lawyer
Phone Number (enter as 123-456-7890) *
Email Address *
Party You Are Representing (enter your name if you don't have a lawyer) *
Party Role (e.g., petitioner, plaintiff, respondent, etc.) *
Case Number *
Case Title *
Motion Number (if applicable)
Reason for sending documents * (For example: "copy of papers requested by the judge"; or "letter to court describing proposed settlement")
FILING: I request that the document(s) I am sending be filed by the court or County Clerk
Cancel

IMPORTANT NOTES ABOUT THE DATA COLLECTION SCREEN:

1. Fields marked with a red asterisk (*), are required fields and must be completed for the user to continue to the next step of the process. Leaving a required field blank will cause the user to receive an error message alerting them which field has been omitted:



The following errors occurred...

1. Note from Sender is required.

The last text field on the Information Screen titled "Reason for sending document" is a required field. In this field provide any special notes or information that the sender would like to convey to the court.

2. At the bottom of the screen there is a check box through which the user can request that the document being sent be "filed" by the court or County Clerk:

 $\ensuremath{ extstyle oxed{ extstyle I}}$ I request that the document(s) I am sending be filed by the court or County Clerk

It is important to understand that checking this box does not of itself constitute filing of the document. Checking the box will alert the court that the sender is requesting that the document be accepted for filing. While reviewing the document, a court or County Clerk will determine whether the document meets the usual filing standards and is suitable for filing and will advise the sender by sending an e-mail notification (to be covered later in the guide).

<u>SELECTING A COURT</u>

After completing the Information Screen, the user will be directed to a screen to identify the court they are filing to.



SELECTING A CASE TYPE

After completing the Select Court screen, the user will be directed to a screen to select an appropriate "Case Type". Court case types may be limited or expansive. The Case Types included on the list are representative of the types of applications that the court is entertaining at this time. Note that these lists may be updated as courts expand procedures in EDDS.



ATTACHING PDF DOCUMENT TO SEND

After selecting the "Case Type", the sender will be presented with a drop-down menu of documents to select from.



In some courts the case type (selected on the previous screen) will contains a distinct drop-down list of selections for "Document Type". The user will need to select the most appropriate document type from the list.

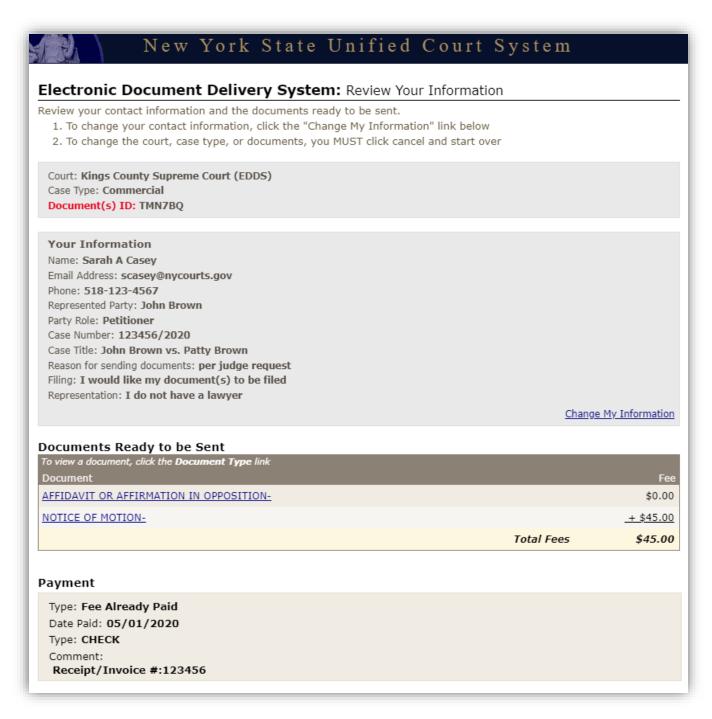
Once the document type is selected the user should click "Browse" or "Choose File" to attach the appropriate pdf document saved to their device.

NOTE: If the selections included on the drop-down list do not include a title appropriate to the document being submitted, the user should choose "DOCUMENT – OTHER", or the most general option on the list. Where a document includes the label "other" in its title with instructions to enter a description in a field provided. It is very important to include that information so that the receiving court can easily identify the type and purpose of the document.

This screen contains enough space to submit a "Main Document", and up to four additional pdf documents. If the user needs to submit more than five documents, there is an option at the bottom of the screen to "Add More Documents".

REVIEW YOUR INFORMATION

After clicking "Next" to submit your document(s), the user will be presented with a summary screen enabling them to review the information they have entered. The user can edit their information prior to submission by clicking "Change My Information" if an error is discovered, and then complete the transaction by clicking "Submit Documents" or "Cancel". However, you cannot edit the court, case type, or the document. If changes are needed to those items, the user must cancel and start over.



THANK YOU PAGE AND CONFIRMATION E-MAIL

Once the sending is complete, a "Thank you" page will be displayed with important information. The same information will be immediately transmitted in an e-mail notification to the e-mail address provided by the user on the data collection page. This e-mail will confirm receipt of the document(s), and that they have been sent for review, awaiting action by a court employee.

The e-mail will include a unique six-digit document(s) ID displayed in the body of the e-mail:



Your documents have been sent to the court you selected. You will receive an e-mail notification when your documents have been received. Please use the document(s) id below when referencing this submission.

Court: Monroe County Supreme Court (EDDS)

Case Type: Commercial
Document(s) ID: E4NV6F

Information Received

Name: Sarah Casey

Email Address: scasey@nycourts.gov

Phone: 518-123-4567

Represented Party: John Brown

Party Role: Petitioner

Case Number: 123456/2020

Case Title: John Brown vs. Patty Brown

Reason for sending documents: per judge request Filing: I would like my document(s) to be filed

Representation: I do not have a lawyer

The user can print out the "Thank You" page or email.

IMPORTANT: The sender must save the Thank You page or the e-mail for their records, as it may be necessary to reference the document identifier in future communications with the court.

Once the court receives notice of the document they will review and will have the option of returning the document for correction or approving the document and taking appropriate action to process, and if appropriate File the document(s).

If the document(s) is accepted for Filing a second e-mail notification will be sent to the e-mail address provided by the user. This notice will include a comment from the court employee that reviewed it, which will include critical information about the outcome of the submission – see one example below of a notice that a sender may receive.



Kings County - Supreme Court (Criminal Term) Comment Added to Case 05/01/2020

Comment from Court User - Brian McAllister

We have received your requested and forwarded it to the judge's chambers. The judge will contact you directly regarding the date and time of the conference.

If you do not receive information from the court, you may contact the court at the email address found on the notification of receipt.

NOTE – that email address should never be used to send documents to the court, it is for correspondence purposes only.

NOTICE TO THE PUBLIC

May 4, 2020

EDDS: UCS Program for Electronic Delivery of Documents

In response to the COVID-19 public health emergency and the expansion of "virtual" court operations, the Unified Court System has initiated a new program to transmit digitized documents (in pdf format) to UCS courts, County Clerks, and other court-related offices around the State. The Electronic Document Delivery System ("EDDS") allows users, in a single transaction, to (1) enter basic information about a matter on a UCS webpage portal page; (2) upload one or more pdf documents; and (3) send those documents electronically to a court or clerk selected by the user. Upon receipt of the document(s) by the court, the sender will receive an email notification, together with a unique code that identifies the delivery. More detailed instructions for sending or filing documents through EDDS may be found on the EDDS FAQ page.

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To use the system for filing, the sender must simply check a box on the sender information screen, complete the sending of the document(s) to the appropriate court through the EDDS system, and pay any required filing fee by credit card. The clerk's office will review the document(s) for sufficiency and, if the clerk determines that filing prerequisites have been met, accept them for filing purposes. In the event that a clerk's office has accepted and filed a document received through EDDS, the sender will be notified of that fact by email or publication on a public database. If no email or published notification is issued indicating that the document has been accepted for filing, the sender should not assume that the filing has occurred. The sender may contact the clerk's office to inquire about the status of a proposed filing.

- 2. <u>EDDS is Not a Substitute for E-filing or NYSCEF</u>: Please note that, although EDDS may be used for filing in various courts, it does not replace and may not substitute for filing under the New York State Courts Electronic Filing System (NYSCEF). Therefore, it should not be used in matters where NYSCEF is available on either a mandatory or consensual basis. (Counties and case types where NYSCEF is available are listed on NYSCEF's Authorized for E-Filing page.)
- 3. <u>EDDS Delivery is not "Service" on Other Parties</u>: Finally, unlike NYSCEF, delivery of a document through EDDS does not constitute service of the document on any other party. If service is required, the sender must serve by some other means.

In sum, EDDS is a document delivery portal that complements the UCS electronic filing system and which, upon completion and together with NYSCEF, will allow remote and immediate delivery of digitized documents throughout the Unified Court System.

Frequently Asked Questions

UCS Electronic Document Delivery System (EDDS)

In response to the COVID-19 public health emergency and the expansion of "virtual" court operations, the Unified Court System has initiated a new program to transmit digitized documents (in pdf format) to UCS courts, County Clerks, and other court-related offices around the State, commencing on May 4, 2020.

Q: What is EDDS?

The Electronic Document Delivery System ("EDDS") allows users, in a transaction commenced at a UCS web portal, to (1) enter basic information about a matter; (2) upload one or more pdf documents; and (3) send those documents electronically to a court or clerk selected by the user. Upon receipt of the document(s) by the court, the sender will receive an email notification, together with a unique code that identifies the delivery.

Q: Why has UCS developed this new document delivery system?

The new system is intended to reduce the need for delivery or filing of paper copies of documents with the courts – and thereby to minimize foot traffic during the COVID-19 public health emergency. It will also facilitate the court system's expanded virtual court operations, which rely upon digital documents.

Q: Can EDDS be used to file documents with courts and County Clerks?

Yes – but with some notable restrictions and qualifications.

Most courts that currently accept filings in paper format will accept pdf copies of the filed document through EDDS. Supreme Courts, County Courts, Family Courts, Surrogates Courts, District Courts, and City Courts. However, this program will not be available in the New York City Criminal Court and may have restricted use in some other courts.

Importantly, EDDS is not intended to duplicate or supplant the New York State Courts Electronic Filing System (NYSCEF) and may not be used for filing in matters where NYSCEF is available on either a mandatory or consensual basis. Court users familiar with NYSCEF will recall that it is broadly available in many civil matters in Supreme Court, Surrogate's Court, and the Court of Claims around the State. Before using EDDS for filing, please make sure that NYSCEF is unavailable. (A list of courts and case types approved for effling through the NYSCEF system may be found on the Authorized for E-Filing page.)

Q: How do I file a document through EDDS?

To file a document through EDDS, you must begin at the <u>UCS EDDS</u> page. There you will be instructed in simple steps to enter appropriate identifying information (including sender contact information, and information about the case and document[s]), to select the county and court for delivery, upload the document(s), and to complete the transmission. If a filing fee is required, you will also be instructed about payment of the fee through online credit card or, in some cases, telephonic credit card transaction.

Once your transaction is complete, the clerk's office will review the submission and, if it meets all filing requirements, will file the documents as requested. If the submission is incomplete or unsatisfactory for filing, you will be notified of the problem by email or other means.

Q: How long will it take to file a document I have submitted through EDDS?

The Court System will make every effort to address filing requests promptly upon receipt. However, considering current operation restrictions, it may take one or more days for a filing request to be reviewed and approved.

Q: May I file documents with clerk's offices in person or by mail?

During the current COVID-19 public health emergency, and consistent with State and national health directives, many courts buildings and offices have remained closed and/or consolidated. Pursuant to AO/114/20, in courts and case types set forth in Exh. A to that order, to the extent that NYSCEF is not available, represented parties must commence new matters exclusively by mail. Following commencement of a new matter, and in pending matters, represented parties must file papers through the EDDS or by mail.

Q: Should I file emergency applications through EDDS?

During the COVID-19 health emergency, UCS courts around the state have established locations and procedures for the submission of emergency applications. EDDS is not designed to supplant those procedures and **should not be used for filing emergency applications**.

Q: How should I serve documents filed through EDDS?

By order of the Chief Administrative Judges, documents filed by EDDS should be served on other parties by electronic means, including email or facsimile, or in the counties set forth in AO/114/20 Exhibit A, parties can serve by electronic means or by mail. (Unlike NYSCEF, EDDS is not a system for service of papers.)

Q: What types of documents may be filed through EDDS?

Any document that is currently being accepted for filing by the UCS in pending nonessential matters may be filed through EDDS or in the counties set forth in AO/114/20 Exh. A, parties can also file mail. (Nonessential matters are legal proceedings other than those deemed "essential" during the COVID-19 public health emergency, as directed by the Chief Administrative Judge in late March [AO/78/20]). However, please remember that EDDS is unavailable in courts and case types where NYSCEF filing is available.

Q: What if I have problems using EDDS?

Because EDDS is a new system which substantially changes offices practices in court clerk and county clerk offices around the state – and at a time when court operations are dealing with new realities of remote and curtailed operation -- we anticipate that its rollout will not be trouble-free. **Please report any problems using the new system to us by email at** edds@nycourts.gov, and we will do our best to remedy them as quickly as possible. Please feel free to send comments and suggestions about the new system to us at this same email address.